

# 08-5547-CV

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United States Court of Appeals  
*for the*  
Second Circuit

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ROY DEN HOLLANDER,

*Plaintiff-Appellant,*

– v. –

COPACABANA NIGHTCLUB, CHINA CLUB, LOTUS, SOL,  
JANE DOE PROMOTERS and A.E.R. LOUNGE,

*Defendants-Appellees,*

GUEST HOUSE and A.E.R. NIGHTCLUB,

*Defendants.*

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ON APPEAL FROM THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

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**BRIEF FOR DEFENDANT-APPELLEE LOTUS**

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## **CORPORATE DISCLOSURE STATEMENT**

Pursuant to Rule 26.1 of the Federal Rules of Civil Procedure, Defendant-Appellee Lotus states that it has no parent corporation and no publicly held corporation owns 10% or more of its stock.

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## **COUNTERSTATEMENT OF ISSUES PRESENTED FOR REVIEW**

- 1) Did Judge Cedarbaum correctly dismiss Plaintiff-Appellant Roy Den Hollander's ("Hollander") Amended Complaint.
- 2) Did Judge Cedarbaum correctly determine that Defendant-Appellee Lotus ("Lotus"), a private nightclub, was not a state actor under Section 1983.

## **COUNTERSTATEMENT OF THE FACTS**

This litigation arises out of Hollander's claim that holding Ladies Nights in nightclubs violates Section 1983 of Title 42 of the United States Code. Hollander alleges that these events discriminate against men. However, Hollander's case suffers from a fatal flaw. He only can challenge this practice in federal court if he satisfies the "state actor" requirement of Title 42, United States Code, Section 1983.

The defendant nightclubs, including Lotus, unquestionably are private entities that cannot be deemed state actors without at least a modicum of evidence to demonstrate the State of New York and Lotus are operating jointly in some fashion.

According to the Amended Complaint, Defendants-Appellees operate nightclubs in New York and are licensed to sell alcohol on their premises. The Amended Complaint describes a number of provisions of the New York Alcoholic Beverage Control law that closely regulate the manufacturer, sale and distribution of alcoholic beverages in New York. The New York State Liquor Authority ("SLA") issues licenses in accordance with and oversees the implementation of the ABC law.

Hollander alleges that Defendants-Appellees engage in state action by selling alcohol on their premises under that extensive regulatory system (A. 64).

On various nights, Defendants-Appellees offer Ladies' Night promotions, under which women receive free or discounted admission or cover charges and/or are allowed more time than men to take advantage of reduced cover charges. Hollander claims that this type of promotional offering is a form of "invidious discrimination against men." Hollander was allegedly the victim of this form of discrimination on at least one occasion at each of the Defendants-Appellees night clubs in 2007. (A. 64-65).

In a detailed thirteen page decision dated September 29, 2008, the Honorable Miriam Goldman Cedarbaum, United States District Judge for the Southern District of New York, granted the Defendants-Appellees' motions to dismiss in their entirety. (A. 63-74).

This appeal followed.

### **SUMMARY OF ARGUMENT**

The District Court's Opinion (A. 63-74) correctly decided that state action did not reach the Defendants-Appellees nightclubs because admission does not involve the serving of alcohol.

In properly dismissing the Section 1983 claims as against the Defendants-Appellees, the Court properly held that a plaintiff must show that the conduct complained of was committed by a person or entity acting under color of state law and

that the conduct deprived a person of rights, privileges or immunities secured by the Constitution.

## **ARGUMENT**

### **I. THE STANDARD OF REVIEW**

The appropriate standard of review over a District Court's dismissal of a claim under Rule 12(b)(6) is *de novo*. *Vietnam Ass'n for Victims of Agent Orange v. Dow Chemical Co.*, 517 F.3d 104, 115 (2d Cir. 2008). The allegations of the Complaint are to be taken as true, and the Court is to determine whether, under any theory, the allegations are sufficient to state a cause of action in accordance with the law. *Vartanian v. Monsanto Co.*, 14 F.3d 697, 700 (2d Cir. 1994).

A claim may be dismissed on motion of a party where the claims fail to state a valid cause of action. Fed. R. Civ. P. 12(b)(6). Such a motion should be granted "it is clear that no relief could be granted under any set of facts that could be proved consistent with the allegations." *H.J. Inc. v. Northwestern Bell Tel. Co.*, 492 U.S. 229, 249-50 (1989)(internal quotation marks and citation omitted). Although on a motion to dismiss, the well-pleaded allegations in the Complaint are to be taken as true. *Grandon v. Merrill Lynch & Co.*, 147 F.3d 184, 188 (2d Cir. 1998), a plaintiff must provide concrete facts to establish each and every element of her claim in order to justify dragging a defendant past the pleading threshold. *DM Research, Inc. v. College of Amer. Pathologists*, 170 F.3d 53, 55 (1<sup>st</sup> Cir. 1999)(citations omitted).

## **II. THE DISTRICT COURT PROPERLY DISMISSED HOLLANDER'S SECTION 1983 CLAIMS**

Hollander purported to bring a class action pursuant to 42 U.S.C. § 1983. The gravamen of his complaint is that Defendants-Appellees have a “practice and policy...that charges men more for admission than females or makes a man’s admission more timely or economically burdensome than for females.” This practice and policy commonly is referred to as “Ladies Nights.” Hollander claims Ladies Nights violate the equal protection clause of the Fourteenth Amendment of the United States Constitution.

The principle allegation in the Complaint appears in Paragraph 4:

The defendants are nightclubs located in New York City, opened to the public, serve alcoholic and non-alcoholic beverages, their operations are entwined with the New York State Division of Alcoholic and Beverage Control and the New York City Consumer Affairs Department, and the nightclubs, along with New York State and the City, benefit from invidiously discriminating against the plaintiff class.

Hollander identifies the question of law, which can be decided upon this motion, as whether “the defendants [were] acting under color of state law when they discriminated against the class members?” Such state action is a prerequisite of bringing this case in federal court under Section 1983.

Hollander seeks to imply state action, where none exists, by asserting, in an



entirely conclusory fashion, that Section 1983 applies to his lawsuit, because of “the entwinement [of Lotus’ operations] with ... the New York State Division of Alcoholic and Beverage Control (“ABC”) and the New York City Consumer Affairs Department.” This latter regulation by Consumer Affairs cannot establish a cognizable cause of action. On the contrary, it is absurd on its face as virtually all private businesses in the City are subject to oversight by Consumer Affairs. This certainly is insufficient to make out state action, which precludes federal jurisdiction under Section 1983.

Indeed, Defendants-Appellees have been able to find only one case that even mentions Plaintiff’s Consumer Affairs theory: *Doug Grant, Inc. v. Greate Bay Casino Corp.*, 232 F.3d 173 (3d Cir. 2000). That court, in the context of the regulation of a private casino, declined to hold that New Jersey’s Consumer Fraud Act could create state action for purposes of Section 1983.

Instead, Lotus concentrated on the failure of liquor licensing and regulation of Lotus by the ABC to establish state action, thereby warranting dismissal, despite Hollander’s claims to the contrary. Hollander focused solely on the fact that Lotus is open to the public, serves alcohol, and therefore, is “entwine[d] with the New York State Division of Alcoholic and Beverage Control.” Complaint ¶ 4. Numerous cases, however, including some decided in the Supreme Court and the United States Court of Appeals for the Second Circuit, hold to the contrary. Such liquor regulation is

insufficient as a matter of law to confer Section 1983 jurisdiction on federal courts, as explained below. Consequently, Hollander's Complaint was properly dismissed because there were no other factual allegations that bestowed Section 1983 jurisdiction on the Trial Court.

**III. LOTUS IS A PRIVATELY OWNED AND OPERATED NIGHTCLUB WHICH DOES NOT OPERATE UNDER COLOR OF STATE LAW, ELIMINATING ANY POSSIBLE BASIS FOR SUIT IN FEDERAL COURT UNDER SECTION 1983**

The statute upon which Hollander seeks to rely, Title 42, United States Code, §1983,<sup>1</sup> requires state action or it does not apply. *Leeds v. Meltz*, 85 F.3d 51, 54 (2d Cir. 1996). Lotus' conduct must have taken place "under the color of state law" or Hollander's lawsuit cannot avoid dismissal. *Id.* at 53; *see also Hedges v. Yonkers Racing Corp.*, 918 F.2d 1079, 1081 (1990). The Supreme Court consistently has ruled that the Fourteenth Amendment prohibits discriminatory State conduct, but "erects no shield against merely private conduct, however discriminatory or wrongful." *Blum v. Yaretsky*, 457 U.S. 991, 1004-05 (1982). The analysis of Hollander's case, therefore, begins with whether there is state action involved in private nightclubs having Ladies Nights at their establishments. If not, Hollander's case must be summarily dismissed. Here, there is no state action and Hollander's Complaint was properly dismissed.

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<sup>1</sup> Section 1983, in relevant part, provides: "Every person who, under color of any statute...[or] regulation of any State subjects or causes to be subjected, any citizen of the United States...to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action

While Hollander would have the Court believe otherwise, state action cannot be based solely upon the existence of extensive regulation by the State of a private business. Rather, state action can be found only where a private party is heavily regulated by the State and has some other close relationship with the private business. Only under those circumstances, as outlined below, can a private party's alleged engagement in behavior prohibited by the Fourteenth Amendment be challenged under Section 1983. This is not such a case.

First, the necessary state action exists where “there is a sufficiently close nexus between the State and the challenged action of the regulated entity so that the action of the later may be fairly treated as that of the State itself. ...The purpose of this requirement is to assure that constitutional standards are invoked only when it can be said that the State is *responsible* for the specific conduct of which the plaintiff complains.” *Id.* (citation and internal quotation marks omitted)(emphasis in original). Surely it cannot credibly be argued that the State, in the form of the ABC, is *responsible* for Ladies Nights held at Lotus.<sup>2</sup>

Second, while the circumstances vary, the Supreme Court's “precedents indicate that a State normally can be held responsible for a private decision only when it has

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at law....”

<sup>2</sup>Promoters who perform services on behalf of Lotus determine whether Ladies Nights will be held there.

exercised coercive power or has provided such significant encouragement, either overt or covert, that the choice in law must be deemed to be that of the State.” *Id.*; *see also Leeds v. Meltz*, 85 F.3d at 54. Critically, “[m]ere approval of or acquiescence in the initiatives of a private party is not sufficient to justify holding the State responsible for those initiatives under the terms of the Fourteenth Amendment.” *Blum v. Yaretsky*, 475 U.S. at 1004-05. Yet Hollander has not pleaded, nor could he plead, any facts to demonstrate either that the ABC coerced Lotus, or significantly encouraged Lotus, to host Ladies Nights. At most, he accuses the State of acquiescing in Ladies Nights, which the Supreme Court explicitly has ruled insufficient on its face to confer Section 1983 jurisdiction.

Third, there may be sufficient state action to sustain a Section 1983 case where “the government so far insinuated itself into a position of interdependence with [the entity] that it was a joint participant in the enterprise.” *Jackson v. Metropolitan Edison Co.*, 419 U.S. 345, 351 (1974). Again, the Complaint is devoid of factual support for the proposition that the State of New York is a joint participant in operating Lotus and/or offering Ladies Nights.

Finally, state action may be found where a private business “has exercised powers that are traditionally the exclusive prerogative of the State.” *Blum v. Yaretsky*, 475 U.S. at 1005. Lotus operates a nightclub. That hardly can be characterized as a function traditionally exercised by the State. Accordingly, Hollander has absolutely no

basis upon which to manufacture state action that would allow him to invoke Section 1983 in this case.

**IV. ACCORDING TO THE UNITED STATES SUPREME COURT, EXTENSIVE REGULATION ALONE DOES NOT CONVERT A PRIVATE PARTY, LIKE LOTUS, INTO A STATE ACTOR**

Hollander has not disputed that Lotus is a private business and is not directly a state actor of any kind. Rather, he unsuccessfully seeks to obtain federal jurisdiction by pointing to the significant regulation of Lotus, as a nightclub serving alcohol, by the ABC in an unavailing effort to fall within the limited provisions of Section 1983. This principal, applied in the context of liquor licensing and regulation, first was rejected in *Moose Lodge No. 107 v. Irvis*, 407 U.S. 163, 173 (1972). There, the Supreme Court first explained that it never had held that discrimination by a private party violated the Equal Protection Clause of the Fourteenth Amendment of the Constitution merely because that private party receives benefits from the State or is regulated by the State.

Instead, the Supreme Court had held, prior to *Moose Lodge*, that “where the impetus for the discrimination is private, the State must have significantly involved itself with invidious discrimination ... in order for the discriminatory action to fall within the ambit of the constitutional prohibition.” *Id.* Although the *Moose Lodge* case involved a private club with private members and not a privately held business open to the public, it nonetheless set forth the principle that Pennsylvania’s liquor regulations were not “intended either overtly or covertly to encourage discrimination.” *Id.* Nor did

Pennsylvania law discriminate against individuals in protected categories with respect to their right to be “served liquor in places of public accommodation.”

Those principles apply with equal force to the State of New York. It cannot be said that New York liquor regulations encourage discrimination nor do those regulations discriminate against men who seek to obtain alcohol in “places of public accommodation,” such as Lotus. Hollaner, however, has argued that *Moose Lodge* is inapposite because it involved a private club, with private membership, as opposed to a place of public accommodation such as Lotus.

But this theory has been soundly rejected by at least one federal court. In *Lyles v. Executive Club LTD*, 670 F.Supp.34-35 (D.D.C. 1987), three women sought admission to a nightclub, were denied admission and tried to bring a civil rights claims based on that denial. Plaintiffs there explicitly contended that the nightclub was a place of public accommodation that operated “pursuant to a liquor license issued by the District of Columbia.” *Id.* at 36. Plaintiffs thus argued the nightclub was “subject to extensive state regulation and may be considered an arm of the state.” *Id.* This is precisely the theory Hollander is presenting and it should be soundly rejected here as well.

The District Court declined to validate this “state arm” theory. Instead, it described the facts of *Moose Lodge* as “closely related” to those raised in the *Lyles* case. *Id.* The court pointed to two specific factors underlying the Supreme Court’s

ruling in *Moose Lodge*. First, the Pennsylvania Liquor Board had not been involved in establishing or enforcing the club’s membership or guest policies, despite authorizing it to sell alcohol. Second, no evidence existed that Pennsylvania liquor law discriminated against minorities “in their right to purchase and be served liquor in places of public accommodation.” The court accordingly concluded that, [h]owever detailed this type of regulation may be in some particulars, it cannot be said to in any foster or encourage racial discrimination.” *Id.* at 37. The court held that, like Pennsylvania, D.C. law regarding alcohol “in no way fosters discrimination.” *Id.* The same is true of New York liquor regulations.

The *Lyles* court declined to find the distinction between a private club, as in *Moose Lodge*, and a place of public accommodation, such as Lotus, meaningful. *Id.* The District Court held this distinction alone was not sufficient to render *Moose Lodge* inapplicable and convert extensive regulation of the sale of alcohol into state action for purposes of Section 1983. *Id.*<sup>3</sup> Plaintiffs in *Lyles* offered no legal authority or other reason to distinguish between the two and the court concluded that a place of public accommodation should be treated in the same manner as the *Moose Lodge* private club. *Id.* Likewise, here, Hollander cannot point to legal authority that warrants refusal to

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<sup>3</sup> The Supreme Court consistently has ruled that other detailed regulation by the States does not give rise to state action either. *See, e.g., Jackson v. Metropolitan Edison Co.*, 419 U.S. 345, 350-51 (1974)(public utilities); *Blum v. Yaretsky*, 457 U.S. at 1004 (nursing homes).

apply the principles enunciated by the Supreme Court in *Moose Lodge* to a nightclub such as Lotus simply because it is heavily regulated by the ABC and is a place of public accommodation.

**V. COURTS IN THE SECOND CIRCUIT LIKewise REFUSE TO FIND STATE ACTION MERELY BECAUSE A PRIVATE ENTITY IS SUBJECT TO DETAILED REGULATION**

The Second Circuit likewise has enunciated the principle that “[e]xtensive regulation and public funding, either alone or taken together, will not transform a private actor into a state actor.” *Leeds v. Meltz*, 85 F.3d at 54. To constitute state action, New York “must have exerted its coercive power over, or provided significant encouragement to, [Lotus].” *Id.* But Hollander here has not asserted a single fact that conceivably could be characterized as evidence that New York has coerced or significantly encouraged Lotus to host Ladies Nights. Therefore, there is no state action and Lotus cannot be sued under Section 1983 for alleged discrimination against men.

*Hedges v. Yonkers Racing Corp.*, is another extremely relevant case. The District Court there held that regulation and “mere licensing” by the State do not establish state action.

This is true despite the “pervasive” regulation of the harness racing business at issue. *Hedges v. Yonkers Racing Corp.*, 733 F.Supp.686, 691 (S.D.N.Y.), *aff’d*, 918 F.2d 1079 (2d Cir. 1990), *cert. denied*, 499 U.S. 960 (1991).



The Second Circuit affirmed this decision. 918 F.2d at 1080. Specifically, the Second Circuit ruled that the mere existence of state regulation does not “transmute[ ] a private actor’s conduct into state action.” *Id.* at 1083. Like Lotus, Yonkers Racing Corp. (“YRC”) is a privately owned business, purchased with private funds. *Id.* at 1080, 1082. The State did not have a proprietary interest in that business nor does it have one in Lotus’ business. *Id.* at 1082. Like Hollander here, Plaintiff Hedges argued that YRC was subject to extensive statutory and regulatory supervision by New York State, thereby rendering YRC’s conduct state action. *Id.* at 1081. Further, “it generated significant tax revenues for the State,” as does Lotus. *Id.* The Second Circuit rejected Hedges’s claim in spite of these two factors, both of which also are present here. This Court accordingly should reach the same result and affirm Judge Cederbaum’s decision<sup>4</sup>

Other similarities between the two cases include the absence of direction by any State official in deciding whether YRC was to license Hedges or Lotus is to host Ladies Nights. *Id.* at 1083. Therefore, there was a distinct separation of the State, as regulator

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<sup>4</sup> Additional indicators of State involvement cited by Hedges, which do not exist in this case, include: “State law requires YRC to collect an admission tax...and regulates the price of admission.” *Id.* at 1081-82. “[T]he Racing Board supervises all gambling activities...and the State Tax Commission oversees the financial aspects of gambling.” *Id.* at 1082. “[T]he State has exclusive power to issue licenses to all track personnel...” *Id.* As a result, this case is a stronger one than *Hedges* for a conclusion that there is no state action.

of harness racing and YRC's actions as a private corporation taken because they were believed to be in the best interests of that corporation. *Id.* The same clearly is true here. There is a definitive division between New York's regulation of establishments serving alcohol and Lotus' decision to host Ladies Nights as a promotion in the best interests of the corporation. Thus, there is no state action here, just as there was no state action in *Hedges*. This Court should follow Second Circuit precedent and affirm Judge Cederbaum's decision. In *Glendora v. Cablevision Sys. Corp.*, 893 F. Supp.264 (S.D.N.Y. 1995), the court similarly ruled that there was no state action despite substantial federal and state regulation over a cable television company. Moreover, the District Court declined to find state action even though the private company operated pursuant to a government franchise. *Id.* at 269. Lotus certainly does not operate pursuant to any government franchise, thereby making the case for state action in Lotus' case even less compelling.

Every one of the foregoing cases, ruling that extensive licensing alone is insufficient to create state action, was decided by the Supreme Court, the Second Circuit or the Southern District of New York after *Seidenberg v. McSorleys' Old Ale House, Inc.*, 317 F.Supp. 593 (S.D.N.Y. 1970), was decided in 1970. Nonetheless, Hollander relies heavily on the *McSorley's* case to support his contention that there is state action in this case by virtue of the extensive regulations imposed on Lotus.

In *McSorley's*, the court granted summary judgment to Plaintiffs, holding that *McSorley's* bar was required to admit females and could not continue its practice of excluding them and maintaining an all male bar. The court reached this decision based in large part on a finding that there was state action given the “kind and degree” of “pervasive” regulation the ABC exerted over the bar. *Id.* at 596-97, 599. Significantly, this decision was reached two years before the Supreme Court’s *Moose Lodge* ruling. Subsequent cases require considerably more to find state action. That significant something more is glaringly absent here.

**VI. CASES DECIDED SINCE MCSORLEY’S, INVOLVING LIQUOR LICENSES, HAVE NOT FOUND STATE ACTION PERMITTING A LAWSUIT UNDER SECTION 1983**

A Fifth Circuit case involves a legal issue virtually identical to that presented in the *McSorley's* case. In *Millenson v. New Hotel Monteleone, Inc.*, 475 F.2d 736 (5<sup>th</sup> Cir. 1973), the hotel had a grill/restaurant that permitted only men to enter. Plaintiff sought admittance for females as well. The Fifth Circuit explicitly addressed the very question before this Court---“whether the issuance of regulatory licenses to a place of public accommodation by a state will suffice to color the admission policies of the former with the authority and involvement of the latter.” *Id.* at 737. The court ruled in the negative.

Based largely on the Supreme Court’s 1972 ruling in *Moose Lodge*, the court concluded that the grill’s admission policies had been determined by the hotel and not

the state. *Id.* Review of the state licensing statutes applicable to the hotel and grill “manifestly” established that they were entirely separate from, and unrelated to, the admission policies of the hotel. *Id.* Therefore, Plaintiff could not demonstrate that the licenses issued to this public accommodation restaurant were so intertwined with the private hotel’s admission policies as to give rise to state action. *Id.* Likewise, Hollander here cannot make any such showing of “entwinement” between New York’s liquor licensing and regulatory provisions and Lotus’ admission policy on Ladies Night. Hollander’s Complaint, therefore, was properly dismissed for lack of state action.

The Eighth Circuit also rejected a finding of state action where, as here, a bar held Ladies Nights and held a liquor license issued by the State. *Comiskey v. JFTJ Corp.*, 989 F.2d 1007, 1011 (8<sup>th</sup> Cir. 1993).

The facts are nearly the same in *Comiskey* as those involved in the instant case. A male challenged a Ladies Night promotion as violating his civil rights on the basis of his gender. *Id.* at 1008. Female customers received free drinks while males were required to buy their drinks at full price. The bar also occasionally held performances by male dancers, during which male attendance was prohibited. *Id.* at 1008.

The plaintiff alleged that Section 1983 applied because the bar was a state actor as the recipient of a liquor license issued to the bar by the State and the State’s resulting regulation of the bar’s actions. *Id.* at 1010. The Eighth Circuit, however, also rejected this premise.

Again, the court relied on *Moose Lodge* in reaching its decision. The court explicitly referred to the Supreme Court's conclusion that issuance of a liquor license and related "enforcement of the regulatory scheme" was insufficient to confer Section 1983 jurisdiction. *Id.* at 1010-11. As the Eighth Circuit observed, the Supreme Court's decision was based on the absence of public funding; the lack of evidence that these liquor regulations were designed in any manner to encourage discrimination; and the fact that the State had played no role whatsoever "in establishing or enforcing the discriminatory policies of the Moose Lodge." *Id.*

The Eighth Circuit likewise found that the Missouri bar at issue did not receive any public funding; the liquor licenses and accompanying regulations could not be viewed as encouraging discrimination; and the State of Missouri had not been involved in any manner in establishing the Ladies Night policies being challenged. *Id.* at 1011. Thus, the bar's conduct could not be "fairly attributable to the State." *Id.* (citation omitted). The court further stated that, as of its 1992 decision, all six courts addressing the issue of whether liquor licensing was sufficient to constitute state action had rejected the theory. *Id.* (citations omitted).

Further, the Eighth Circuit rejected the existence of a "symbiotic relationship" between the bar and the State. It opined that no reasonable person could conclude that "the State of Missouri had elected to place its power, property and prestige behind the [alleged] discrimination. *Id.* (internal quotation marks and citations omitted). In sum,

no valid Section 1983 claim arose on the mere basis that liquor licensing and regulation over the bar existed. *Id.*

The same is true when the relevant factors are applied to liquor licensing and regulation here in New York. As a result, Hollander's contention, controverted by virtually every federal court to consider the question since *Moose Lodge*, was properly discounted by the Trial Court. His Complaint, therefore, was properly dismissed.

### **CONCLUSION**

Lotus respectfully requests this Honorable Court affirm the District Court's decision and conclude that the grant of Lotus' pre-answer motion for judgment was entirely proper.

Dated: New York, New York  
April 20, 2009

Respectfully submitted,

GORDON & REES, L.L.P.

By:

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UNITED STATES COURT OF APPEALS  
SECOND CIRCUIT

-----X  
ROY DEN HOLLANDER

Case No. 08-5547-CV

**CERTIFICATE  
OF COMPLIANCE**

Plaintiff- Appellant,

- against -

COPACABANA NIGHTCLUB, ET AL.,

Defendants-Appellees.

-----X

I, Thomas B. Coppola, counsel to the Defendant-Appellee Lotus, hereby certify pursuant to Rule 32(a)(7)(c) of the Federal Rules of Appellate Procedure that the foregoing brief complies with the type-volume limitation of Fed. R. App. 32(a)(7)(B)(i) and contains less than 14,000 words, to wit: 4,178 words.

Dated: April 20, 2009

\_\_\_\_\_  
Thomas B. Coppola

STATE OF NEW YORK )  
 )  
COUNTY OF NEW YORK )

ss.:

**AFFIDAVIT OF SERVICE  
BY MAIL**

I, \_\_\_\_\_, being duly sworn, depose and say that deponent is not a party to the action, is over 18 years of age and resides at the address shown above or at

**On**

deponent served the within: **Brief for Defendant-Appellee Lotus**

**upon:**

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the address(es) designated by said attorney(s) for that purpose by depositing **2** true copy(ies) of same, in a postpaid properly addressed wrapper in a Post Office Mail Depository, under the exclusive custody and care of the United States Postal Service, within the State of New York. Attorney for Plaintiff-Appellant has been served electronically by email.

**Sworn to before me on**

**LUISA M. WALKER**  
Notary Public State of New York  
No. 01WA6050280  
Qualified in New York County  
Commission Expires Oct 30, 2010

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Job # **222347**



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**ANTI-VIRUS CERTIFICATION FORM**  
Pursuant to Second Circuit Local Rule 32(a)(1)(E)

CASE NAME: Hollander v. Copacabana Nightclub

DOCKET NUMBER: 08-5547-cv

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Luisa M. Walker

Date: April 20, 2009